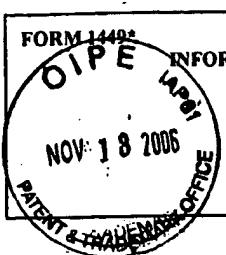


Date Mailed: November 8, 2006

Sheet 1 of 1

 INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 10873.I406US01	Application Number: 10/789,309
		Applicant: KOMMA	
		Filing Date: February 26, 2004	Group Art Unit: 2655

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/PG/	US 6,166,854	12/2000	Katsuma			
/PG/	US 6,084,843	07/2000	Abe et al.			

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
/PG/	EP 0 610 055	08/1994	European Patent Office			
/PG/	EP 0 936 604	08/1999	European Patent Office			
/PG/	EP 1 001 414	05/2000	European Patent Office			
/PG/	EP 1 500 956	01/2005	European Patent Office			
/PG/	JP 10-106016	04/1998	Japan			Abstract
/PG/	WO 03/060891	07/2003	WIPO			
/PG/	WO 02/21522	03/2002	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
/PG/	European Search Report mailed September 25, 2006 for co-pending EP application 03013076.9.					

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EXAMINER	/Parul Gupta/	DATE CONSIDERED	03/09/2007
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Date Mailed: November 1, 2006

Sheet 1 of 2



FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 10873.1406US01	Application Number: 10/789,309
		Applicant: KOMMA	
		Filing Date: February 26, 2004	Group Art Unit: 2655

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/PG/	6,449,095	September 2002	Ohtaki et al.			
/PG/	6,898,168	May, 2005	Kimura et al.			
/PG/	2001/0000135	April, 2001	Mori et al.			
/PG/	6,992,967	January, 2006	Jeong			
/PG/	2003/227858	December, 2003	Komma			
/PG/	2004/109242	June, 2004	Komma			
/PG/	2005/249099	November, 2005	Komma			
/PG/	6,928,035	August, 2005	Komma, et al.			
/PG/	2005/152036	July, 2005	Shiono, et al.			
/PG/	2003/151996	August, 2003	Hendriks, et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
/PG/	0 731 459	September, 1996	EPO			
/PG/	0 747 893	December, 1996	EPO			
/PG/	9-306018	November, 1997	Japan			Abstract
/PG/	0 831 466	March, 1998	EPO			
/PG/	11-23819	January, 1999	Japan			Abstract
/PG/	2001-93179	April, 2001	Japan			Abstract
/PG/	2001-209966	August, 2001	Japan			Abstract
/PG/	2002-237078	August, 2002	Japan			Abstract
/PG/	02/082437	October, 2002	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
/PG/		Nishioka, et al., "BD/DVD/CD Compatible Optical Pick-up Technology", Extend Abstracts (The 50th Spring Meeting 2003); The Japan Society of Applied Physics and Related Societies, 27 p-ZW-10, Kanagawa University, March 2003 (with partial translation)				

EXAMINER	/Parul Gupta/	DATE CONSIDERED	03/09/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)		Docket Number: 10873.1406US01	Application Number: 10/789,309
		Applicant: KOMMA	
		Filing Date: February 26, 2004	Group Art Unit: 2655

/PG/		Katayama, et al., "Dual Wavelength Optical Head for 0.6mm and 1.2mm Substrate Thickness", Jpn. J. Appl. Phys., vol. 36 (1997) pp. 460-466



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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION <small>(use several sheets if necessary)</small>		Docket Number: 10873.1406US01	Application Number: 10/789309
		Applicant: Yoshiaki KOMMA	
		Filing Date: February 26, 2004	Group Art Unit: n/a

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/PG/	US 5,446,565	Aug. 1995	KOMMA et al.			
/PG/	US 6,201,780	March 2001	KATAYAMA			
/PG/	US 6,442,124	Aug. 2002	CHUNG et al.			
/PG/	US 6,118,594	Sept. 2000	MARUYAMA			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES
/PG/	JP 7-98431	April 1995	JAPAN			US 5,446,565
/PG/	JP 10-334504	Dec. 1998	JAPAN			US 6,201,780
/PG/	JP 11-296890	Oct. 1999	JAPAN			US 6,442,124
/PG/	JP 11-339307	Dec. 1999	JAPAN			Abstract
/PG/	JP 2000-81566	March 2000	JAPAN			US 6,118,594
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
/PG/		Ryuichi Katayama, et al., "Blue/DVD/CD Compatible Optical Head with Three Wavelengths and a Wavelength Selective Filter", ISOM2001, Technical Digest, We-C-05, pp30				
/PG/		N. Kaiho, et al., "DVD/CD Compatibility using Blu-ray Disc Pick Up", Extended Abstracts (The 63rd Autumn Meeting, 2002); The Japan Society of Applied Physics, No. 3, September 2002, 27p-YD-5, pp.1008 (with partial translation)				

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